

# 2
D/A/C
(S)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Victor F. Petrenko
Application No.: 10/043,752
Filed: January 9, 2002

Attorney Docket No. 392150
Group No.: Unknown
Examiner: Unknown

For: PLASMA-BASED DE-ICING

RECEIVED
JUN 18 2002
OFFICE OF PETITIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

DEFICIENCY PAYMENT TO CORRECT ERROR IN SMALL ENTITY STATUS

Pursuant to 37 CFR 1.28(c)(1) and (2) an itemization and payment of deficiency owed are hereby submitted. The undersigned attorney requests under § 1.28(d) that the deficiency payment be treated as a notification under § 1.27(g)(2) of the loss of entitlement to small entity status.

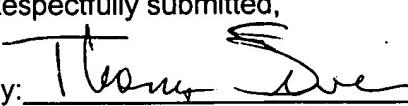
Fee Description	Date	Fee Paid	Corrected Fee Amount	Deficiency Due
Basic Filing Fee	1/9/02	\$370.00	\$740.00	\$370.00
Extra Claims Fees	1/9/02			
33 Total Claims		\$ 117.00	\$234.00	\$117.00
3 Independent Claims		\$ 0.00	\$ 0.00	\$ 0.00
Total Deficiency Payment Owed:				\$487.00

A deficiency payment in the amount of \$487.00 is enclosed. If any additional amount is due, please charge Deposit Account No. 12-0600.

Adjustment date: 06/18/2002 AWDNDAF1
01/17/2002 HLE333 00000004 10043752
01-FC:201 -370.00-OP
02-FC:203 -117.00-OP

Respectfully submitted,

By:


Thomas Swenson, Reg. No. 36,696
Lathrop & Gage, L.C.
4845 Pearl East Circle, #300
Boulder, CO 80301
Telephone: (303) 449-5800
Facsimile: (303) 443-6998

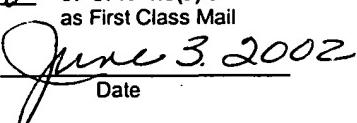
06/18/2002 AWDNDAF1 00000076 10043752

01 FC:101 740.00 OP
02 FC:103 234.00 OP

CERTIFICATE UNDER 37 C.F.R. 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

MAILING

37 CFR 1.8(a) a
as First Class Mail

Date
June 3, 2002

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37 CFR 1.10
as Express Mail Post Office to Addressee
EXPRESS MAIL NO.

Carol J. Dunkel



Practitioner's Docket No. 392150

0410 \$
PATENT

IN THE UNITED STATES PATENT OFFICE

In re application of: Victor F. Petrenko
Application No.: 10/043,752 Group No.: Unknown
Filed: January 9, 2002 Examiner: Unknown

For: PLASMA-BASED DE-ICING

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CERTIFICATE OF MAILING

Date of Deposit: June 3, 2002

I hereby state that the following *attached* papers:

Commissioner for Patents
Washington, D.C. 20231

1. Transmittal Form [1 page]
2. Fee Transmittal for FY 2002 [1 page]
3. Deficiency Payment to Correct Error in Small Entity Status [1 page]
4. Check No. 2575 in the amount of \$487.00 for total deficiency owed
5. Certificate of Mailing, [1 page]
6. Return Postcard [1].

are being deposited with the United States Postal Service as First Class Mail service under 37 CFR 1.8 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.


Carol J. Dunkel

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/043,752
		Filing Date	January 9, 2002
		First Named Inventor	Victor F. Petrenko <i>RECEIVED</i>
		Group Art Unit	Unknown <i>JUN 1 8 2002</i>
		Examiner Name	Unknown <i>OFFICE OF PETITIONS</i>
Total Number of Pages in This Submission	4	Attorney Docket Number	392150

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Deficiency Payment to Correct Error In Small Entity Status - Rule 1.28
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Certificate of Mailing
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Return Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas Swenson Lathrop & Gage, L.C.
Signature	<i>Thomas Swenson</i>
Date	June 3, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 3, 2002

Typed or printed name	Carol J. Dunkel
Signature	<i>Carol J. Dunkel</i>
Date	June 3, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 487)

Complete If Known	
Application Number	10/043,752
Filing Date	January 9, 2002
First Named Inventor	Victor F. Petrenko
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	392150

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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 12-0600</p> <p>Deposit Account Name Lathrop & Gage, L.C.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="6" style="text-align: right;">Other fee (specify) <u>Payment of total deficiency owed</u></td> </tr> <tr> <td colspan="4"></td> <td colspan="2" style="text-align: right;">\$487</td> </tr> <tr> <td colspan="4"></td> <td colspan="2" style="text-align: right;">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="4"></td> <td colspan="2" style="text-align: right;">SUBTOTAL (3) (\$ 487)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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** or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Thomas Swenson	Registration No. Attorney/Agent)	36,696*	Telephone	(303) 449-5800		
Signature	<u>Thomas Swenson</u>				Date	June 3	, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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